



Docket No.: TCJC 7812 US

In re application of: T.C. Jacoby, Jr.

Serial No.: 09/773,803	Group No.: 3625
Filed: February 2, 2001	Examiner: Yogesh C. Garg
For: COMPUTERIZED COMMISSION BASED TRADING OPERATIONS	

St. Louis, Missouri  
Date: November 4, 2004

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is:

- ☒ An amendment in the above-identified application in response to the Office Action dated October 6, 2004;

Small Entity Status:

- ☒ Applicant claims small entity status.  
☐ Applicant DOES NOT claim small entity status.

The fee has been calculated as shown:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate – Other Than A Small Entity	Rate – Small Entity	Additional Fee
Total Claims	34 -	37 =	0	\$18.00	\$9.00	\$0.00
Indep. Claims	3 -	5 =	0	\$86.00	\$43.00	0.00

Total Additional Fee:

\$00.00

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17, which may be required by this paper to Deposit Account 162201. Duplicate copies of this sheet are enclosed.

Mark E. Books  
Mark E. Books  
Registration No: 40,918

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Mark E. Books  
Mark E. Books- Reg. No.:40,918

11-04-04

Date



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PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** T.C. Jacoby, Jr.  
**SERIAL NO.:** 09/773,803  
**FILED:** February 2, 2001  
**EXAMINER:** Garg, Yogesh C.  
**DOCKET NO.:** TCJC 7812 US  
**GROUP ART UNIT:** 3625  
**FOR:** Computerized Commission Based  
Trading Operations

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 4, 2004.

Mark E. Books  
Mark E. Books, Reg. No. 40,918

11-04-04  
Date of Signature

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 4, 2004  
St. Louis, Missouri

**AMENDMENT C**

Sir:

In response to the Office Action of October 6, 2004, please enter the following amendments:

- Amendments to the Claims begin on Page 2.
- Detailed Remarks begin on Page 21.